

STUDY PROTOCOL

Study Protocol: A Mixed-methods Study to Develop and Validate a Questionnaire of Self-management and Its Relationship With Quality of Life Among Thalassaemia Adolescents

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ABSTRACT

Introduction: Few studies have been published on self-management among Malaysian adolescents with thalassaemia due to the paucity of specific theoretical and culturally appropriate measures, which has led to psychological effects and non-compliance with conventional treatment. This study aims to develop and validate a questionnaire to evaluate self-management among Malaysian adolescents with thalassaemia. **Methods:** An exploratory mixed-methods design will be used in this study. In Phase I, we will recruit adolescents with thalassaemia aged 10 to 19 and their caregivers from one of the thalassaemia societies for a semi-structured interview. Participant recruitment will cease upon achieving thematic saturation. Coding and theme analyses will be used to identify self-management domains and items. In Phase II, we will develop self-management instruments based on the domains and items generated in Phase I. Content validity will be reviewed by ten expert panels, and a pilot study will be tested with 42 conveniently selected adolescents aged 10 to 19. Four hundred twenty-two participants from eleven thalassaemia NGOs will participate in the psychometric evaluation of the items in the self-management instruments. **Expected Outcome:** With these instruments, healthcare providers will be able to gather crucial information regarding thalassaemia adolescents' self-management, which may improve adolescents' adherence to treatment and lower their levels of serum ferritin, enhancing their health-related quality of life. **Trial registrations:** Ethical approval was obtained from the UiTM Ethics Research Committee (REC/10/2023-PG/MR/401) and the Malaysia Thalassaemia Society. *Malaysian Journal of Medicine and Health Sciences* (2024) 20(SUPP9): 268-275. doi:10.47836/mjmh20.s9.42

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adolescence encounter difficulties with their health-related quality of life (HRQoL) (4).

INTRODUCTION

Living with thalassaemia is very challenging due to the many demands of the changes in lifestyle that occur from an early age. According to a study published in 2020, the annual expected number of newborns with beta-thalassaemia is 20,420 in Southeast Asia, 9,914 in the Eastern Mediterranean region, and 1,019 in South Asia (1). Until early 2012, there were 4,715 patients with thalassaemia in Malaysia, many of whom were between 10 and 15 years old (2). Adolescence is a crucial developmental period for establishing lifelong health-related behaviours (3). Patients with intricate chronic illnesses and developmental changes during

Like other chronic disorders, thalassaemia has substantial physical, socio-mental, congenital, and economic repercussions on individuals and their families (5). Physical anomalies, growth retardation, hepatosplenomegaly, yellow skin, and disease-like facial lines are seen (6). This bodily appearance lowers self-esteem and makes people feel different. Such individuals have anaemia, tiredness, and a lack of tolerance to physical activity, as well as needing lifetime care, blood transfusions, and iron chelation (7). As with other chronic disorders, thalassaemia requires ongoing follow-up and medical care monitoring, which affects physical, psychological, social, and school functioning in children and adolescents. Blood transfusion and iron chelation therapy make thalassaemia a chronic illness that requires self-management (8). For personal

well-being, HRQoL, and cost reduction, lifelong self-management is needed (9, 10).

Lifelong treatment and care to reduce problems in children with thalassaemia can influence HRQoL (11). One study conducted in Cairo stated the need for thalassaemia self-management and treatment plans to enhance HRQoL in children with chronic diseases such as thalassaemia (12). Some studies have identified that self-management is linked to HRQoL, distress, and depression (9). Enhanced self-management is associated with enhanced illness management, patient well-being, quality of life, and individual growth, potentially decreasing depression and anxiety, particularly in individuals with asthma and type 1 diabetes (13). Most studies have connected self-management and quality of life in paediatrics to chronic disease but not specifically to thalassaemia. Ultimately, the relationship between self-management and adolescents' quality of life is complex and elusive.

Self-management (SM) is the "day-to-day management of chronic conditions by individuals throughout an illness" (14). Self-management improves the health outcomes of chronic illness by enhancing treatment adherence and problem-solving skills, while individuals can better navigate challenges (15). Adolescents with chronic diseases need to acquire self-management abilities to handle their condition as they go into adulthood (16). A successful transition to adult healthcare requires self-management skills such as appointment-making/-keeping, medicine refills, and insurance (17), the lack of which raises the risk of poor long-term health (18). For

adolescents with chronic disorders like thalassaemia, self-management measures can improve their health and well-being (8,19). Primary care physicians, nurse practitioners, and paediatric subspecialists should assess self-management skills, self-efficacy, and relevant contextual factors to support self-management (15). Therefore, establishing the nurse's role in thalassaemia care and in collaborating with other healthcare providers can improve patient care and reduce the risk of a lack of coordination (20).

Different scales measure quality of life, resilience, coping methods, and self-efficacy. No single scale measures all the aspects of thalassaemia adolescents' self-management. Quality measures are needed to evaluate teenage thalassaemia self-management. Researchers should concentrate on measuring self-management practices in children with chronic illnesses such as thalassaemia (15). These assessment tools should be adapted for shared management, meaning that both the healthcare provider and the patient should be involved in managing the condition. Of the existing tools, Self-Management of Type 1 Diabetes in Adolescence (SMOD-A) (21) and Adolescent Self-Management and Independence Scale II (AMIS II) Original (22) were created for adolescents with chronic conditions. Additionally, the Paediatric Epilepsy Medication Self-Management Questionnaire (23) and the Family Asthma Management System Scale (FAMSS) Original (24) were created for parents to evaluate family and child self-management. The features and psychometric aspects of the self-management questionnaire are shown in Table I.

Table I: Self-management related questionnaires

| Questionnaires | Author and Year | Indications | Number of Items | Aims | Reliability | Validity | Face and Content Validity | Developed Upon Theoretical Framework |
|--|-----------------------|-----------------------------------|-----------------|---|---|------------------------------|--|--------------------------------------|
| Family Asthma Management System Scale (FAMSS) Original | Klinnert et al. (24) | Parents of children with asthma | 11 sub-scales | The FAMSS evaluates the effectiveness of the family asthma management system. | Cronbach's alpha for the FAMSS Summary Score was 0.91. | Not reported | Not reported | Family asthma management system |
| Self-Management of Type 1 Diabetes in Adolescence (the SMOD-A) Original | Schilling et al. (21) | Patients with type 1 diabetes | 33 | The SMOD-A evaluates self-management in youths with type 1 diabetes. | Cronbach's alphas for the five subscales ranged from 0.71 to 0.85 | EFA revealed five sub-scales | Assessed by multiple groups of experts | Not reported |
| Pediatric Epilepsy Medication Self-Management Questionnaire (PEMSQ) Original | Modi et al. (23) | Parents of children with epilepsy | 15 of 27 | The PEMSQ evaluates medication self-management in children with epilepsy. | Cronbach's alphas ranged from 0.63 to 0.93 | Not reported | Not reported | Not reported |

CONTINUE

Table I: Self-management related questionnaires. (CONT.)

| Questionnaires | Author and Year | Indications | Number of Items | Aims | Reliability | Validity | Face and Content Validity | Developed Upon Theoretical Framework |
|---|-------------------|--|-----------------|--|---|---|--|--------------------------------------|
| Adolescent-Self-Management and Independence Scale II (AMIS II) Original | Sawin et al. (22) | Patients with spina bifida and their parents | 5 of 17 | The AMIS II evaluates the adolescent's increasing responsibility for and implementation of self-management behaviours. | Cronbach's alpha for the scale and sub-scales were 0.78–0.82. Cronbach's alphas for parent and adolescent were 0.87–0.89 for the total scale. | EFA, using principal axis analysis with an oblique rotation, conducted on parent data, supported two related self-management factors. | Content validity was conducted using healthcare professionals, parents, and AYA. | Not reported |

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No measure exists to assess adolescent thalassaemia self-management and its components in Malaysia, while no inductively constructed and psychometrically verified instrument has measured teenage thalassaemia self-management. An efficient and reliable tool for measuring adolescents thalassaemia self-management could assist healthcare administrators in identifying adolescents' requirements and assessing support initiatives in regions with high frequency. The current study aims to identify self-management themes in thalassaemia adolescent patients (Phase I); develop and validate a Self-Management Questionnaire (SMQ) (Phase II); and determine the relationship between self-management and HRQoL. This exploratory mixed-methods project will run from May 2023 through December 2025.

MATERIALS AND METHODS

Phase I

In Phase I, we will utilise a qualitative method to explore the reality of adolescent thalassaemia SM from the viewpoints of adolescents and caregivers in identifying items of SM.

Study Participants

Due to the high prevalence of thalassaemia in Alor Setar, Kedah, northern Malaysia, "Kelab Thalassaemia Kedah" (KTK) will gather data and recruit participants for Phase I (2). Thalassaemia adolescents and carers

registered with "Kelab Thalassaemia Kedah" in Kedah will be recruited to participate through purposeful sampling. Thalassaemia-afflicted adolescents will be interviewed separately to learn how they achieve SM. Carers will be included because of their vital role in helping thalassaemia adolescents in their SM. Potential participants will be contacted by phone based on the inclusion and exclusion criteria provided. Twenty thalassaemia adolescent participants and 20 of their parents will be interviewed, meeting the sample size recommendations for this type of research (25).

Participant Recruitment

Thalassaemia adolescent participants can be recruited for this study if they meet the following inclusion criteria: (a) are aged 10 to 19 years old, have been diagnosed with (any type of) thalassaemia, and have undergone conventional treatment for at least six months; and (b) can communicate in English or Bahasa Malaysia. The caregiver can be one parent or guardian of each adolescent who has lived with the adolescent for at least one year. The adolescents who agree to participate will be given letters of ethical approval, patient information sheets describing the study, and written permission forms for their parents.

For exclusion criteria, individuals who have trouble communicating because of thalassaemia or other reasons will not be considered for this study.

Data Collection

To ensure the validity and dependability of the data, a semi-structured interview guide will be created in multiple steps. Based on the purpose of the research, open-ended questions will be provided in the interview guide (e.g., How do you take your iron chelation drugs?) in Step 1. In Step 2, two supervisory committee members will independently review the interview guide and provide input. Presenting the questions in English will help the committee comprehend them. After that, the approved interview guide will be translated into Malay

by an independent translator.

“Kelab Thalassaemia Kedah” has a quiet discussion room for the interview. They will be held in English or Malay since this study will include urban and rural participants who speak English and/or Malay. The interview goals will be discussed at the start of each session. To develop rapport, the participants will introduce themselves. Their viewpoints will be encouraged to be expressed freely. We will elicit self-management domains from the participants using all the interview questions. The interviewer will reflect after each 40-minute session. Audio and verbatim transcription will be done for all the sessions. Until thematic saturation is achieved, participant recruitment will be ongoing. There will be no disclosure of any personally identifiable information.

Data Analysis

Following each interview, the audio recordings will be stored on a laptop protected by a password. The audio will be transcribed verbatim using NVIVO software. The transcripts will be cross-referenced with the audio of the interviews to guarantee precision. Coded names will replace participant names for confidentiality. Interview recordings in Malay will be translated into English. Constant comparative analysis will be used. The researchers will read the transcripts before extracting and coding meaning units. Next, codes will be categorised. Credibility, transferability, dependability, and confirmability assessments will assure the quality and rigour of this qualitative inquiry.

Triangulation and member checks will be used to make this study credible. Triangulation will involve comparing two different researchers' conclusions on the same dataset. Restoring the analysed data to the thalassaemia patients and carers will verify membership. Researcher reflexivity and peer review will ensure reliability. The raw data will be reviewed and determine whether the research conclusions are credible based on the interview data by the qualitative researcher. The interviewers will also be candid about any implications of their own personal beliefs, assumptions, theoretical leanings, and connections to the study for the outcome of the inquiry. Transferability will be sought by thoroughly describing the study environment and participants' characteristics (e.g., the adolescents' age, gender, and ethnicity, as well as the caregivers' level of education). Providing verbatim transcripts will ensure confirmation.

No participant-related information, such as names or addresses, will be revealed in the interview or on any of the recorded audio. Only the audio will be used for transcription purposes; no copies or usage will be made of the recordings. The audio recordings will be properly discarded after transcription. All audio recordings in MP3 format will be kept until the transcription process

is finished. A password-protected PC will store each transcription in a Microsoft Word file. Data will be restricted to the principal and coordinating investigators.

Phase II

A quantitative study will be conducted to, firstly, develop and validate the Self-Management Questionnaire (SMQ) among a sample of thalassaemia adolescent patients and, secondly, determine the relationship between self-management and Health-related Quality of Life (HRQoL) among Malaysian adolescents with thalassaemia. This study is designed as a cross-sectional quantitative analysis.

Item Generation

The preliminary SMQ will include topics from Phase I, in which self-management domains among thalassaemia adolescent patients were identified. Using these themes, we will develop preliminary SMQ items. The content validity index (CVI) will be calculated using empirical methods. The CVI is a commonly used measure for assessing content validity in instrument development, can be calculated based on the Item-CVI (I-CVI) and the Scale-level-CVI (S-CVI) (26).

According to Yusoff (27), the content validation specialists used in a study should number at least six but no more than 10. Eight expert panelists from various fields with expertise and knowledge in thalassaemia management, such as two nursing lecturers, one psychologist, two paediatric haematology specialists and two nurses who manage thalassaemia patients, including one president of the thalassaemia society will assess each SMQ item independently. Two adolescents with thalassaemia as representatives of thalassaemia patients will be invited to be part of the expert panelists who ensure accurate and sensitive SMQ items. Using a four-point Likert scale, they will evaluate and score the items based on appropriateness, representativeness, and explicitness. The latter will range from 1 (irrelevant and should be deleted) to 4 (relevant, clear, and precise).

The I-CVI is calculated by dividing the number of experts who rated an item as three or four on the scale by the total number of experts. Statistics suggest each I-CVI should be over 0.78 if six or more experts are involved. Lower-rated items should be removed or updated. The process should persist until each item/statement achieves an I-CVI of 0.78 or above. Through S-CVI/Ave, an expert committee will decide whether to delete or keep each item. The percentage of content-valid items is the S-CVI. Since this measures the average item quality, it is calculated by averaging the I-CVI. The recommended S-CVI/Ave is 0.90. S-CVI/Ave and I-CVI are reported individually. In addition to the relevancy of the items, the experts will grade their clarity from 1=very confusing to 4=very clear. Any item with a clarity score

below 0.8 will be eliminated. This will produce the preliminary version of the SMQ. English will be used to create the SMQ.

The Pilot Version

Pilot testing will ensure that the Malaysian thalassaemia adolescents find the items significant. We will pilot the SMQ and additional measurements, including the PedsQL™ 4.0 Generic Core Scale (GCS). Pilot research is needed to reduce misunderstandings, measurement mistakes, and poorly phrased items. Pilot testing will be done with 42 thalassaemia adolescents, representing 10% of the anticipated 422 participants from the actual study sample, from “Kelab Thalassaemia Kedah”, the same society involved in Phase I. All the adolescents who have attended the society and meet the study inclusion criteria will be asked to complete the questionnaire. Participating adolescents will complete an assent form and their carers’ consent will be obtained. The researcher will help the teenagers complete the questionnaire and answer the questions. The researcher will organise the questionnaire, time it, and assist participants. Post-questionnaire, the participants will be briefly asked about their understanding and any confusion. They will also be able to add to, explain, and edit each preliminary SMQ question to make it more exact, culturally acceptable, and time-efficient. This will create the SMQ pilot.

Participant Recruitment

In Phase II, data collection and participant recruitment will involve 11 of the 12 non-government organisations (NGOs) of the Thalassaemia Society in Malaysia. Since “Kelab Thalassaemia Kedah” will be used for data collection for Phase I and the pilot testing, this club will be excluded to avoid confounding bias. Eligible thalassaemia adolescent patients will be randomly selected. The participants will be selected based on the inclusion and exclusion criteria used in Phase I.

A minimum of 200 participants is required for factor analysis sample size calculation (28). Hence, 422 participants will be appointed for the final sample through proportionate stratified random sampling to determine the total number of participants from each society and ensure that representative examples will be obtained.

Measures

Participants will fill out a study questionnaire that includes sociodemographic information such as age, gender, ethnicity, carers’ level of education, carers’ level of income, and serum ferritin status. The questionnaire will be available in either printed or online format and will also include the pilot version of the SMQ. Our goal is to create a questionnaire including less than 100 items to avoid respondent fatigue and enhance data quality (29). The overall score will be calculated by adding together the scores from all items. Higher total scores indicate weaker self-management. This SMQ

questionnaire will use a Likert scale ranging from 1 to 5 (where 1 = strongly disagree and 5 = strongly agree). For the lowest possible score, sum up the lowest scores for all items; for example, if each item has a minimum score of 1, the lowest possible total score would be the sum of the lowest scores across all items). Meanwhile, the highest possible score sums up the highest scores for all items, for example, if each item has a maximum score of 5, the highest possible total score would be the sum of the highest scores across all items. This SMQ questionnaire will include subscales that represent different aspects of self-management. For example, the SMQ might have subscales related to emotional regulation, adherence to treatment, or lifestyle choices. Each subscale will have its own set of items and scores for each subscale separately.

The Final Version

We will analyse the factor structure of the revised SMQ using the validation sample. Exploratory factor analysis (EFA) and Cronbach’s alpha will be applied to assess the adequacy of the measurement model of the SMQ. Missing values in EFA will be handled using ‘pairwise’ deletion, which assumes missing values are random and retains most of the data. EFA will be performed using principal component analysis (PCA). The data’s appropriateness for factor analysis was evaluated, indicating correlation coefficients of 0.3 or higher in the correlation matrix. The Kaiser-Meyer Olkin (KMO) result of 0.794 surpassed the acceptable threshold. The Bartlett’s Test of Sphericity yielded a statistically significant result, suggesting structured relationships among the items and confirming the correlation matrix’s factorability (30).

Test for Validity

The predictive validity of the SMQ will be evaluated against the PedsQL™ 4.0 Generic Core Scale (GCS) using the Pearson correlation (31) to determine the relationship between self-management and HRQoL among Malaysian adolescents with thalassaemia. The 23-item instrument assesses various dimensions, including physical (eight items), emotional (five items), social (five items), and school (five items). It inquires how problematic a specific item from a dimension has been for a child over the past month. Each item has a five-point Likert scale that corresponds to five levels: “never a problem”, “rarely a problem”, “sometimes a problem”, “often a problem”, and “almost always a problem”. The items are reversed and transformed into a 0 to 100 scale, where higher scores represent better HRQoL (31). The outcome is the average value calculated by considering the weight of each item in the Total Summary Score (TSS). The Physical Health Summary Score (HSS) and the Psychosocial Health Summary Score (PCHS) are subscales of the Total Summary Score (TSS). The PCHS comprises three components: emotional, social, and school functioning scores. We propose that there is a negative correlation between the total score of the SMQ

and the PedsQL™ 4.0 Generic Core Scale (GCS); for instance, poorer self-management is associated with lower HRQoL.

Test for Reliability

The Cronbach's alpha coefficient will assess the internal consistency of the final version of the SMQ. Items with a reliability coefficient of 0.70 or higher will be included in the SMQ questionnaire. An alpha coefficient of 0.70 is acceptable, whereas a value exceeding 0.80 indicates high internal consistency (32).

Ethics Approval

The UiTM Research Ethics Committee granted ethical approval for this research at UiTM (reference no: REC/10/2023 (PG/MR/401) on 16 October 2023. Before conducting the study, support from all presidents of the Thalassaemia Society will also be required.

DISCUSSION

Thalassaemia adolescents may face individual and environmental difficulties that hinder self-management and health outcomes (8). Inadequate research exists on self-management among adolescents with blood disorders like thalassaemia. Multiple self-management tools have been developed and utilised in other countries, but these have not been assessed for their cultural, contextual, and developmental appropriateness to Malaysia.

To address these demands, this study has two goals. First, through quantitative inquiry, we aim to identify self-management domains by exploring the realisation of adolescent thalassaemia self-management from the perspectives of adolescents and caregivers. Secondly, through a quantitative approach, we intend to develop and validate a self-management questionnaire called the Self-Management Questionnaire (SMQ). This newly developed questionnaire can be used to measure components of self-management behaviours. It will also precisely pinpoint the underlying HRQoL among thalassaemia adolescents.

The study will utilise the PedsQL™ 4.0 Generic Core Scale (GCS) to investigate the predictive validity of the SMQ in analysing the association between self-management and health-related quality of life (HRQoL). The Malay version of the PedsQL™ 4.0 Generic Core Scale (GCS) has been validated locally, and it shows moderate-to-good reliability and validity (33). Second, we will print and distribute questionnaires online and offline to boost the response rates. Eligible participants will be called to have the survey explained and provide their consent. We anticipate these sample procedures

will improve the response rate and efficiency, as well as reduce the cost.

CONCLUSION

This study will offer comprehensive and detailed insights into the fundamental aspects of self-management behaviours in thalassaemia teenagers. Based on these themes, we will create a local questionnaire (SMQ) to measure self-management levels in an adolescents thalassaemia patients accurately. This questionnaire aims to assist healthcare providers in comprehending the varying significance of various self-management elements and their interplay. This information will help the Ministry of Health make policies and help public health professionals create effective health promotion programmes and evidence-based interventions to decrease problems from not following traditional treatment. Better-controlled serum ferritin could reduce multiple organ complications, relieving the public healthcare burden. Improving adherence may also result in more cost-effective thalassaemia treatment in Malaysia.

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